

01/18/01

JP-803 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL LETTER

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
DP-706 US

jc841 U.S. PTO

09/761693

01/18/01

To the Assistant Commissioner for Patents:

Transmitted herewith for filing is the patent application of:

Masaru TSUKIJI

corresponding to Japanese application 2000-014139, filed
January 19, 2000,**entitled:** STRUCTURE AND MANUFACTURING METHOD OF NON-VOLATILE
FLASH MEMORY**Enclosed are:**

| | |
|-------------------------------------|---|
| <input checked="" type="checkbox"/> | 15 pages of specification. |
| <input checked="" type="checkbox"/> | 7 sheets of formal drawings. |
| <input checked="" type="checkbox"/> | a newly-executed declaration of the inventor. |
| <input type="checkbox"/> | a copy of an executed declaration of the inventor from prior application Serial No. , filed . |
| <input type="checkbox"/> | incorporation by reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied as indicated in the preceding box, is considered as being part of the disclosure of the accom- panying application and is hereby incorporated by reference therein. |
| <input checked="" type="checkbox"/> | an assignment of the invention to NEC CORPORATION, including assignment cover sheet. |
| <input checked="" type="checkbox"/> | Information Disclosure Statement with Form PTO-1449. |
| <input checked="" type="checkbox"/> | a copy of the Information Disclosure Statement citation. |
| <input type="checkbox"/> | preliminary amendment. |
| <input checked="" type="checkbox"/> | return receipt postcard (MPEP 503), specifically itemized. |
| <input type="checkbox"/> | applicant claims small entity status under 37 CFR 1.27. |
| <input checked="" type="checkbox"/> | a certified copy of the Japanese Priority Document. |
| <input checked="" type="checkbox"/> | other: Application Data Sheet. |

If a CONTINUING APPLICATION, check appropriate box and supply the requisite informa-
tion.☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. , filed .

| | |
|-------------------------------------|---|
| <input checked="" type="checkbox"/> | Customer No. 000466. |
| <input checked="" type="checkbox"/> | Correspondence address is: YOUNG & THOMPSON, 745 South 23rd Street, Second Floor, Arlington, Virginia 22202. |
| <input checked="" type="checkbox"/> | Telephone: (703) 521-2297. Telefax: (703) 685-0573 or (703) 979-4709. |

UTILITY PATENT APPLICATION TRANSMITTAL LETTER
(continued)

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CLAIMS AS FILED

| | NO. FILED | NO. EXTRA | RATE | FEE |
|-------------------------------------|-----------|-----------|--------|--------|
| BASIC FEE | | | \$ 710 | \$ 710 |
| TOTAL CLAIMS | 15 - 20 = | 0 | X\$ 18 | 0 |
| INDEPENDENT CLAIMS | 7 - 3 = | 4 | X\$ 80 | 320 |
| MULTIPLE DEPENDENT CLAIM PRESENT | | | \$ 270 | |

TOTAL \$1030

If applicant has small entity status under 37 CFR 1.9 and 1.27, then divide total fee by 2, and enter amount here.

**SMALL ENTITY
TOTAL**

\$

| | |
|-------------------------------------|--|
| <input checked="" type="checkbox"/> | A check in the amount of \$1070.00 to cover the filing fee is enclosed. |
| <input checked="" type="checkbox"/> | The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to Deposit Account No. 25-0120 in the name of Young & Thompson, as described below. A duplicate copy of this sheet is enclosed. |
| <input type="checkbox"/> | Charge the amount of \$ as filing fee. |
| <input checked="" type="checkbox"/> | Credit any overpayment. |
| <input checked="" type="checkbox"/> | Charge any additional fee required under 37 CFR 1.16 and 1.17, during the pendency of this application. |
| <input type="checkbox"/> | Charge the issue fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance. |

Robert J. Patch
Registration No. 17,355
745 South 23rd Street
Arlington, VA 22202
Telephone 703/521-2297

January 18, 2001